

NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

☐ Duplicate  
(check, if applicable)

U.S. PTO  
10/664719



MAIL STOP PATENT APPLICATION Attorney Docket No.: 10407-61US (A3035MT-US1)  
Commissioner for Patents First Named Inventor: Masaya KUWAHARA et al.  
P.O. Box 1450 Express Mail Label No.: EV 312205937 US  
Alexandria, VA 22313-1450 Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the non-provisional utility patent application entitled:

APPARATUS AND METHOD FOR TRACKING CONTROL

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior Application No. filed

Anticipated Group/Art Unit: or Class Subclass

☐ This non-provisional patent application is based on Provisional Patent Application No.  
filed

Enclosed are:

- ☒ Specification (including Abstract) and claims: 67 pages.
- ☒ 20 sheets of drawings (formal).
- ☐ Application Data Sheet.
- ☒ Newly executed Declaration (copy).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
- ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☒ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: Matsushita Electric Industrial Co., Ltd.
- ☒ Certified copy of Japanese Application No. 2002-271119 filed September 18,  
2002 is filed:  
☒ herewith or ☐ in prior application.

The PTO did not receive the following  
listed item(s) REFERENCES LISTED  
UNDER IDS

- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement, PTO/SB/08A, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- ☐ Other:

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$375			\$750	
Total	29-20 =	9	X9	\$	OR	X18	\$ 162
Independent	5- 3=	2	X42	\$	OR	X84	\$ 168
<input type="checkbox"/> Multiple Dependent Claims Present			\$140	\$	OR	\$280	\$ ----
			<b>TOTAL</b>	<b>\$</b>	<b>OR</b>	<b>TOTAL</b>	<b>\$ 1,080</b>

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts
- ☒ A check in the amount of \$1,080.00 to cover the filing is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 210407.0061)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS**

September 17, 2003  
(Date)

By:

John D. Simmons

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JDS:msb

Enclosures